

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Mail Stop ISSUE FEE  
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34375 7590 09/21/2007

NATH & ASSOCIATES PLLC  
 112 South West Street  
 Alexandria, VA 22314

## Certificate of Mailing or Transmission

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(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/582,609	06/12/2006	Andreas Palmer	27413U	2197

TITLE OF INVENTION: INTERMEDIATES FOR THE PREPARATION OF TRICYCLIC DIHYDROPYRANO-IMIDAZO-PYRIDINES DERIVATIVES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	<del>\$1400</del> \$1440	\$300	\$0	<del>\$1700</del> \$1740	12/21/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
LEESER, ERICH A	1624	544-127000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 The Nath Law Group

2 Joshua B. Goldberg

3 Sheldon M. McGee

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

ALTANA PHARMA AG

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Konstanz, Germany

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 3

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- ☒ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 14-0112 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Typed or printed name

Sheldon M. McGee

Date 12/12/2007 10582609

Reg. No. 01 EC-1501 50,454  
02 FC-1504

1440.00 OP  
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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit from the public which is to file (and by the USPTO process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Mail Stop ISSUE FEE  
Attorney Docket No. 27413U

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:	PALMER, et al.	Art Unit:	1624
Serial No.:	10/582,609	Examiner:	Leeser, E. A.
Filing Date:	June 12, 2006	Conf. No.:	2197
Title:	<b>INTERMEDIATES FOR THE PREPARATION OF TRICYCLIC DIHYDROPYRANO-IMIDAZO-PYRIDINES DERIVATIVES</b>		

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:


Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

1. Part B-Issue Fee Transmittal; and
2. Check No. 5136 in the amount of \$1,749.00 for Issue (\$1440), Publication (\$300), and Advanced Ordered Copies Fee (\$9) Payments, as a Large Entity.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,  
**THE NATH LAW GROUP**

Date: December 10, 2007

  
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